

The Pensions Regulator

Private & Confidential

Mr Jason Bailey
Lead Pensions Manager
Surrey County Council
Room 243, County Hall
Penrhyn Road
Kingston-Upon-Thames
KT1 2DN

Napier House
Trafalgar Place
Brighton
BN1 4DW

T 01273 627253
E Andrew.Champion@the
pensionsregulator.gov.uk

www.thepensionsregulator.gov.uk
www.trustee toolkit.com

By email jason.bailey@surreycc.gov.uk
and post

8 February 2018

Our reference: P102827729



Dear Mr Bailey

Firefighters' Pension Scheme 1992 – Surrey – PSR 10276866

Firefighters' Pension Scheme 2006 – Surrey – PSR 10276869

Firefighters' Pension Scheme 2015 – Surrey – PSR 12013402

(together, “the Schemes”)

Thank you for the breach of law report received on 17 November 2017 regarding the Scheme Manager's failure to provide Annual Benefit Statements ('ABSs') to all of the relevant members of the Schemes by 31 August 2017 for year end 31 March 2017.

As you are aware, the Regulator cannot waive the requirements of the relevant pensions legislation. However, we are a risk-based regulator, guided by principles of proportionality, and in certain circumstances, where it is reasonable to do so, we can take a pragmatic approach.

On the basis of the information you have provided, particularly in light of the confirmation that the outstanding ABSs have been issued to the Schemes' members by 30 November 2017, the Regulator does not intend to take enforcement action at this stage, in relation to this breach of law.

The Regulator appreciates the submission of the breach of law report, acknowledges your willingness to remedy the breach and your efforts to be open and transparent. This enables the Regulator to engage with Scheme Managers in a productive manner

in order to achieve good member outcomes. We trust you will continue to take this approach in future reporting.

Yours sincerely



Andrew Champion
Senior Case Manager
Case Management - Frontline Regulation Directorate

Appendix

Please note that the information you provide in response to this request may be used by the Regulator for the purpose of exercising its functions. Pursuant to section 80 of the Pensions Act 2004, any person who knowingly or recklessly provides the Regulator with information which is false or misleading in a material particular in these circumstances is guilty of an offence.

Please note that information obtained by the Regulator may be "restricted" within the meaning of section 82 of the Pensions Act 2004. If so, the Regulator, and any person who receives the information directly or indirectly from the Regulator, is subject to the restrictions on its further use and disclosure set out in that section. Your attention is drawn in particular to the provisions of section 82(1) and 82(2) of the Pensions Act 2004. Onward disclosure of restricted information, other than in accordance with the Pensions Act 2004, is a criminal offence.

Information (including personal data) provided to the Regulator in response to this request may be used (including disclosing it to third parties) in connection with any of its functions or duties under the Pension Schemes Act 1993, Pensions Act 1995, the Pensions Act 2004, the Pensions Act 2008 or any other enactment which confers functions or duties upon the Regulator.

The Regulator is a data controller for the purposes of the Data Protection Act 1998 ("DPA"). We hold and process personal data in accordance with the principles set out in the DPA in carrying out our statutory functions/duties. Please ensure you have the necessary authorisation/permission to disclose to the Regulator personal data of other individuals or persons.